

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: David L. Rimm et al

Serial No.: 08/976,886

Filed: November 24, 1997



Docket No.: H-1296(2)

Art Unit: 1643

Examiner:

#5/7R

12/15/98

For: "Method for the Detection, Identification, Enumeration and Confirmation of Circulating Cancer and/or Hematologic Progenitor Cells in Whole Blood"

INFORMATION STATEMENT

1/1 PR

Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

Dear Sir:

This is an information statement for use in connection with the above-identified patent application. The Examiner is respectfully requested to make the specified references of record in this case. The references are:

- 4E Goldblatt et al; "Cancer Cells in Circulating Blood: A Critical Review"; The Journal of Exfoliative Cytology; pp. 25-33; (1965);
- 4E Nagy; "A Study of Normal, Atypical and Neoplastic Cells in the White Cell Concentrate of the Periphral Blood"; The Journal of Exfoliative Cytology; pp. 61-67; (1965);
- 4E Malmgren; "Problems in Techniques Used in Blood Specimen Preparation"; The Journal of Exfoliative Cytology; pp. 97-99; (1965);
- 4E Watne; "The Isolation of Tumor Cells From the Blood: Approaches to Technique Comparisons"; The Journal of Exfoliative Cytology; pp. 123-133; (1965);
- 4E Christopherson; "Cancer Cells in the Peripheral Blood: A Second Look"; The Journal of Exfoliative Cytology; pp. 169-174; (1965); and
- 4E Moore et al; "Clinical Aspects of Cancer Cells in the Blood"; The Journal of Exfoliative Cytology; pp. 175-179; (1965).

Copies of the aforesaid references are enclosed along with a Form PTO-1449.

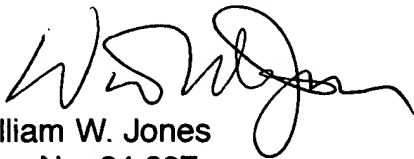
The undersigned hereby certifies that:

Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the date of mailing this statement; or

 X No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application; or
to the knowledge of the undersigned, having made reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the date of mailing of this statement; or

 This information statement is accompanied by the fee of \$240.00 specified in § 1.17(p).

Respectfully submitted,



William W. Jones
Reg. No. 24,607

Date: 4-3-98